

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Application No.:** 09/465,607  
**Date Filed:** December 17, 1999  
**Title:** COMPUTER-IMPLEMENTED SECURITIES TRADING  
SYSTEM WITH A VIRTUAL SPECIALIST FUNCTION  
**Applicants:** Timothy M. Keiser *et al.*  
**Group Art Unit:** 3696  
**Confirmation No.:** 9080  
**Examiner:** Clement B. Graham

## TRANSMITTAL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This paper and any references, materials, and other information submitted herewith (collectively, "submission") is one part of an Information Disclosure Statement ("IDS") in the above-referenced patent application that is being filed today in multiple electronic filings. The IDS has been separated into multiple parts for electronic filing in order to conform to the file size constraints of the electronic filing system of the U.S. Patent and Trademark Office. A transmittal submitted with another part of the IDS includes information regarding any fees, any certifications, and other statements relating to the IDS. Such transmittal applies equally to the present submission and all other parts of the IDS submitted today. The Office is directed to such other transmittal for information relating to any fees, certifications, and other information relating to the present IDS.

Respectfully submitted,

Dated: August 7, 2009

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